

## Series 6 Study Guide Kaplan

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### **Series 6 Best Practices**

Series 6 Unit 1 series 6 unit 1 kaplan. Question Answer; what is a security? an investment instrument that represents either ownership interest in a company or a creditor relationship with a company. FINRA: Financial Industry Regulation Authority. is a SRO that regulates participants in the other the counter market securities.

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